

Serial No. 10/770,893
67097-022

JPC

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Song
Serial No.: 10/770,893
Filed: February 3, 2004
Group Art Unit: 1742
Examiner: Morillo, Janell Combs
Title: CASTABLE HIGH TEMPERATURE ALUMINUM ALLOY

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

PTO form 1449 is submitted herewith pursuant to the provisions of 37 CFR 1.97 and 1.98(a) as a means of complying with the requirements of 37 CFR 1.56 with respect to the above identified application. In accordance with Patent Office guidelines, copies of the foreign citations listed on PTO 1449 are enclosed. These references do not disclose the invention claimed in the subject application.

Please consider the enclosed Information Disclosure Statement in the examination of the above application. The Commissioner is authorized to charge Deposit Account No. 21-0279 in the name of United Technologies Corporation for the submission of the Information Disclosure Statement under §1.97(c). Should there be any additional fees associated with this document, please charge it to Deposit Account No. 50-1482.

Respectfully Submitted,

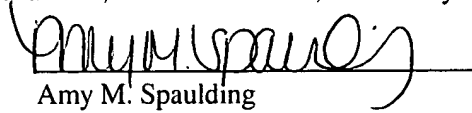
CARLSON, GASKEY & OLDS, P.C.

Karin H. Butchko
Registration No. 45,864
Attorneys for Applicant
400 West Maple Road, Suite 350
Birmingham, Michigan 48009
(248) 988-8360

Dated: January 11, 2007

CERTIFICATE OF MAIL

I hereby certify that the enclosed IDS, PTO-1449 and references cited are being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 11, 2007.


Amy M. Spaulding

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Substitute for form 1449/PTC

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/770,893
Filing Date	February 3, 2004
First Named Inventor	Song
Art Unit	1742
Examiner Name	Morillo, Janell Combs
Attorney Docket Number	67097-022

Sheet	1	of	1
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U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		EP 0 958 393	11-24-1999	Aluminum Company		
		JP 2001-511847	08-14-2001	Aluminum Company		

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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